

Docket No.
045077

Declaration For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

TECHNIQUE FOR EXTRACTING DATA FROM STRUCTURED DOCUMENTS

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International Application Number _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

60/195,556

APRIL 6, 2000

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Eric T. Bax	
Sole or first inventor's signature <i>Eric T. Bax</i>	Date Aug 4, 2000 <i>Aug 4, 2000</i>
Residence 1267 N. Michigan Avenue, Pasadena, California 91104	
Citizenship United States	
Post Office Address Same as above	

Full name of second inventor, if any Charless C. Fowlkes	
Second inventor's signature <i>Charless C. Fowlkes</i>	Date Oct 2, 2000 <i>Oct 2, 2000</i>
Residence 31 Gardner Park Drive, Bozeman, Montana 59715	
Citizenship United States	
Post Office Address Same as above	

Full name of third inventor, if any Louis Cisnero Jr.	
Third inventor's signature <i>Louis Cisnero Jr.</i>	Date Nov. 3, 2000 <i>Nov. 3, 2000</i>
Residence 1208 Commerce Street, Jourdanton, Texas 78026	
Citizenship United States	
Post Office Address Same as above	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

PATENT
45077November 10, 2000IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Eric T. Bax, Charless C. Fowlkes, Louis Cisnero, Jr.
Serial No. UNASSIGNED
Filed: HEREWITH
For: TECHNIQUE FOR EXTRACTING DATA FROM STRUCTURED
DOCUMENTS
Examiner: Unassigned
Unit: --

POWER OF ATTORNEY

BOX NEW PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D. C. 20231

Dear Sir or Madam:

The undersigned assignee of the entire interest in the above-identified patent application hereby appoints the following attorneys and/or agents, Edward G. Poplawski, Esq., Reg. No. 33,439; Denise L. McKenzie, Esq., Reg. No. 43,790; and Nisan A. Steinberg, Ph.D., Reg. No. 40,345, to prosecute and transact all business in the United States Patent and Trademark Office relating to this application,

Please send all future correspondence to:

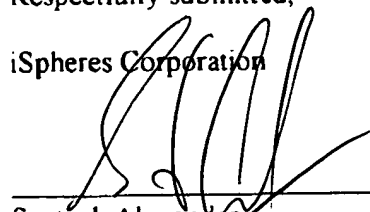
Edward G. Poplawski, Esq.
SIDLEY & AUSTIN
555 West Fifth Street
Los Angeles, CA 90013-1010

and direct all telephone calls to: Denise L. McKenzie, Esq. at 213/896-6663;
Fax (213) 896-6600.

Respectfully submitted,

iSpheres Corporation

Dated: 11/10/00


Santosh Alexander
Chief Executive Officer

Serial No. To Be Assigned		Filing Date Herewith	Patent No.	Issue Date
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL BUSINESS STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN				
Docket No. 045077				
Applicant/ ERIC T. BAX ET AL. Patentee:				
Invention: TECHNIQUE FOR EXTRACTING DATA FROM STRUCTURED DOCUMENTS				
<p>I hereby declare that I am:</p> <p><input type="checkbox"/> the owner of the small business concern identified below:</p> <p><input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below:</p> <p>NAME OF CONCERN: <u>iSpheres Corporation</u></p> <p>ADDRESS OF CONCERN: <u>640 Third Street, Oakland, California 94607</u></p> <p>I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.</p> <p>I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:</p> <p><input checked="" type="checkbox"/> the specification filed herewith with title as listed above.</p> <p><input type="checkbox"/> the application identified above.</p> <p><input type="checkbox"/> the patent identified above.</p> <p>If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).</p>				

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Santosh Alexander

TITLE OF PERSON SIGNING _____

OTHER THAN OWNER: Chief Executive Officer

ADDRESS OF PERSON SIGNING: iSpheres Corporation
648 Third Street
Oakland, California 94607

SIGNATURE: _____ DATE: 11/10/00

PATENT
45077IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Eric T. Bax, Charless C. Fowlkes, Louis Cisnero, Jr.
Serial No. UNASSIGNED
Filed: HEREWITH
For: TECHNIQUE FOR EXTRACTING DATA FROM STRUCTURED DOCUMENTS
Examiner: Unassigned

CERTIFICATE OF EXPRESS MAILING

HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE AND LABEL INDICATED BELOW AND IS ADDRESSED TO BOX PATENT APPLICATION, THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D. C. 20231

11/29/2K
Date of Deposit and Signature

Ann Weiss

EL 773912047 US
Express Label No.

BOX NEW PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D. C. 20231

Dear Sir or Madam:

iSpheres Corporation

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of chain of title from the inventor, of the patent application identified above, to the current assignee as shown below:

1. From: Eric T. Bax, Charless C. Fowlkes, Louis Cisnero, Jr.
2. To: iSpheres Corporation

The document is being filed herewith with the Patent and Trademark Office.
A copy thereof is attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 11/10/00

Name: Santosh Alexander

Title: Chief Executive Officer

Signature: [Signature]

0072689-120100